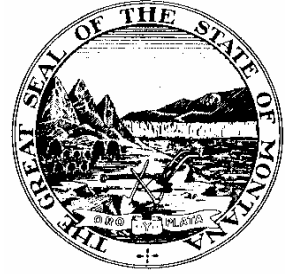


COMMISSIONER OF POLITICAL PRACTICES
1205 Eighth Avenue
Post Office Box 202401
Helena, MT 59620-2401
TELEPHONE: 406-444-2942
FAX NUMBER: 406-444-1643
WEBSITE: www.politicalpractices.mt.gov



INSTRUCTIONS (Revised 12/06)

FORM L-5A LOBBYING FINANCIAL REPORT

WHO IS REQUIRED TO FILE A FORM L-5A?

A Principal who makes payments or has agreed to make payments exceeding \$2,300 in calendar year 2007 to one or more individuals for the purpose of promoting, opposing, or modifying the introduction or enactment of legislation on behalf of the Principal must complete and file a Form L-5A. All payments made to support or assist lobbying activities also must be reported, whether the payments are made to registered lobbyists, other individuals engaged in lobbying activities, or support staff.

There are generally four categories of reportable activities. They are: (A) The lobbyist who must register because he/she is paid at least \$2,300 to lobby; (B) The individual who engages in lobbying activities but who does not have to register because he/she is not paid at least \$2,300 to lobby; (C) All support staff who are paid to support or assist a lobbying activity; and (D) Equipment, advertising, supplies, social expenditures and office space used and paid for by the Principal to support or assist lobbying activities.

WHAT INFORMATION IS TO BE REPORTED?

The following information is required:

- reporting period for which the report is being submitted;
- name(s) of registered lobbyist(s) receiving payment(s) to promote, oppose, or modify the introduction or enactment of legislation and to support or assist lobbying activities on behalf of the Principal during the reporting period, and amount of payments to each registered lobbyist;
- date registered lobbyist will cease to represent the Principal;
- total amount paid to individuals, other than registered lobbyists, who are employed or retained to promote, oppose, or modify the introduction or enactment of legislation or to support or assist lobbying activities on behalf of the Principal;
- itemized expenses paid during the reporting period;
- each bill draft request, bill number, or legislative issue for which a major effort was exerted to promote, oppose, or modify the introduction or enactment of legislation;
- name of payer and amount of each earmarked contribution and membership fee of \$250 or more paid to the Principal for the purpose of promoting, opposing, or modifying the introduction or enactment of legislation; and
- name of payee and of beneficiary of each payment of \$25 or more to the benefit of any public official and \$100 or more to the benefit of more than one public official as provided in Administrative Rules of Montana 44.12.209.

WHEN MUST A FORM L-5A BE FILED?

An initial report must be filed for the reporting period in which:

- a) payments to lobby or to support or assist lobbying activities that exceed \$2,300 are made; or
- b) an oral or written agreement is entered into to pay one or more individuals more than \$2,300 to promote, oppose, or modify the introduction or enactment of legislation or to support or assist lobbying activities on behalf of the Principal.

WHERE MUST A FORM L-5A BE FILED?

- One copy is to be filed with the Commissioner of Political Practices. **The report may be faxed provided the original report is submitted to the Commissioner immediately thereafter.**
- One copy is to be retained for three (3) years by the Principal. A Principal must also retain all records relied upon to prepare the L-5A.

The information on Form L-5A is required in accordance with Montana Code Annotated 5-7-208, 5-7-212; and Administrative Rules of Montana 44.10.202.

THE STATE OF MONTANA

COMMISSIONER OF POLITICAL PRACTICES
1205 Eighth Avenue
Post Office Box 202401
Helena, MT 59620-2401
TELEPHONE: 406-444-2942
FAX NUMBER: 406-444-1643
WEBSITE: www.politicalpractices.mt.gov

FOR OFFICE USE ONLY

Date Received
Postmark Date (If Filed Late)

FORM L-5A (Revised 12/06)
LOBBYING FINANCIAL REPORT
TO BE FILED by PRINCIPAL

ORIGINAL FILING ☐ AMENDED FILING ☐

TYPE OR PRINT IN INK ALL INFORMATION ON THIS FORM EXCEPT FOR CERTIFICATION SIGNATURE
REPORTING PERIODS (Check ☐ Appropriate Box)

2007 Legislative Session Year Report

* January 1 – January 31 (due February 15, 2007)	<input type="checkbox"/>
** February 1 – February 28 (due March 15, 2007)	<input type="checkbox"/>
** March 1 - March 31 (due April 15, 2007)	<input type="checkbox"/>
*** April 1 - End of Session (due 30 days after adjournment)	<input type="checkbox"/>
*** End of Session - December 31 (due February 15, 2008)	<input type="checkbox"/>

In the event of Special Legislative Session(s) the following will apply:

*** Adjournment of Special Session (due 30 days after adjournment)	<input type="checkbox"/>
*** End of Session – December 31 (due February 15, 2008)	<input type="checkbox"/>

* Report is required only if reportable payments exceeding \$2,300 for lobbying activities or to support or assist lobbying activities have been made during the reporting period.

** Report is required for each month in which reportable payments for lobbying activities or to support or assist lobbying activities are \$5,000 or more and the report must include all payments made during the prior calendar months if such payments have not been previously reported.

***Report is required even if no reportable payments for lobbying activities have been made during the reporting period if payments for lobbying activities or to support or assist lobbying activities of more than \$2,300 have been made in this calendar year.

FULL NAME OF PRINCIPAL

COMPLETE BUSINESS MAILING ADDRESS (Including City, State, Zip)

PLEASE NOTE: If reportable payments for lobbying activities of more than \$2,300 have been made this calendar year and previously reported, but no payments for lobbying activities have been made AND no earmarked contributions received (refer to Part III) during the reporting period, check box to the right and only file first page. ☐

CERTIFICATION

I, _____, _____,
PRINT Name of Authorized Representative of Principal Title

certify that the facts stated in this report are true and correct to the best of my knowledge and belief.

SIGNATURE of Authorized Representative of Principal

Date

Telephone Number

PART I

Required In accordance with MCA 5-7-102, 5-7-112, and 5-7-208

Payments for lobbying activities that exceed \$2,300* to one or more individuals to promote, oppose, or modify the introduction or enactment of legislation on behalf of the Principal are required to be reported.

*reimbursement for personal living expenses do not have to be reported

A. DURING THIS REPORTING PERIOD, PAYMENTS WERE MADE TO THE FOLLOWING LOBBYISTS TO PROMOTE, OPPOSE, OR MODIFY THE INTRODUCTION OR ENACTMENT OF LEGISLATION, OR TO SUPPORT OR ASSIST LOBBYING ACTIVITIES: (Payments include salaries & fees, allowances, rewards, contingency fees)	
Full Name of Lobbyist Paid (<u>Please Print</u>)	Date Registered Lobbyist Will Cease to Represent Principal

If additional space is required, provide information on a separate sheet and attach it to report.

B. THIS REPORTING PERIOD, FOLLOWING PAYMENTS WERE MADE:	Amount Paid (\$)
TOTAL REPORTABLE PAYMENTS TO LOBBYISTS (From Section A)	
TOTAL PAYMENTS MADE TO INDIVIDUALS (OTHER THAN REGISTERED LOBBYISTS) EMPLOYED OR RETAINED TO LOBBY OR TO SUPPORT OR ASSIST LOBBYING ACTIVITIES	
TRAVEL EXPENSES	
ADVERTISING (<i>including production costs</i>)	
ENTERTAINMENT (<i>including all foods and refreshments</i>)	
COMMUNICATION (<i>including telephone, fax, e-mail</i>)	
POSTAGE	
PRINTING	
OTHER OFFICE EXPENSES	

TOTAL PAYMENTS FOR LOBBYING ACTIVITIES THIS REPORTING PERIOD

PART II
Required in accordance with MCA 5-7-208(5)(d)

List each bill draft request, bill number, and legislative issue* for which a major effort** was exerted to support, oppose, or modify the introduction or enactment of legislation, and stating the Principal's position.

* Describe in sufficient detail to ensure determination of subject, i.e. "no-fault insurance," "methane gas regulation," etc.

** See Administrative Rules of Montana 44.12.102(6)

ISSUE, LC #, SB #, or HB #	SUPPORT, OPPOSE, OR MODIFY

If needed, provide additional information on separate sheet and attach to report.

PART III
Required in accordance with MCA 5-7-208(5)(c)

List each earmarked contribution and membership fee of \$250 or more, aggregated over the period January 1 through December 31, paid to the principal for the purpose of promoting, opposing, or modifying the introduction or enactment of legislation. Provide full name of each payer, amount, and issue area.

Full Name Complete Mailing Address of Member/Contributor	Amount	Issue Area Earmarked
	\$	
	\$	
	\$	

If needed, provide additional information on separate sheet and attach to report.

PART IV
Required in accordance with MCA 5-7-208(5)(b)

Itemize by name of payee and beneficiary, each separate payment of \$25 or more paid to the benefit of any public official and each separate payment of \$100 or more paid to the benefit of more than one public official. *Amount of benefit must be reported as an expense in Part I.*

Complete Name of Payee	Benefit Amount	Name of Public Official Receiving Benefit
	\$	
	\$	

If needed, provide additional information on separate sheet and attach to report.